

# KHATOD INVESTMENTS & FINANCE CO. LTD

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Date: 13.01.2022

To  
The Secretary,  
The Calcutta Stock Exchange Limited,  
7, Lyons Range,  
Kolkata - 700001

**Sub.: Compliance Report on Corporate Governance under Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Pursuant to Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Compliance Report on Corporate Governance for the quarter ended on 31<sup>st</sup> December, 2021.

This is for your information and record.

Thanking You.

Yours faithfully,

For, Khatod Investments & Finance Co Ltd

Sweta Singh



Sweta Singh  
Company Secretary & Compliance Officer

Enclosed: As above

1. Name of Listed Entity: Khatod Investments &amp; Finance Co Ltd

2. Quarter ending: 31.12.2021

I. Composition of Board of Directors												
Title (Mr/Ms)	Name of the Director	PAN§ & DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)&	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit(AC)/ Stakeholder Committee(s) (SC) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit(AC) / Stakeholder Committee(SC) held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mrs.	Manisha Pincha	APZPP6065Q 07143031	Executive	27/03/2015	10/5/2019	-	-	22/10/1980	2	-	2 (1AC, 1SC)	-
Mr.	Pradeep Kumar Hirawat	AASPH2684R 00047872	Non-Executive/Independent	30/12/2005	1/4/2019	-	93 months	13/02/1982	1	1	-	2 (1AC, 1SC)
Mr.	Manish Jain	AFWPJ5435P 00578028	Non-Executive/Independent	30/12/2005	1/4/2019	-	93 months	31/12/1978	1	1	2 (1AC, 1SC)	-
Mr.	Shambhu Choubey	AWQPC8134R 06565599	Non-Executive	10/5/2019	27/09/2019	-	-	5/4/1968	1	-	-	-

Whether Regular Chairperson appointed – No

Whether Chairperson is related to Managing Director or CEO – No

§ PAN of any director would not be displayed on the website of Stock Exchange

&amp; Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

## II. Composition of Committees

Name of Committee	Whether Regular Chairperson Appointed	Name of Committee members	Category (Chairperson/Executive/ Non-Executive/Independent/ Nominee) §	Date of Appointment**	Date of Cessation
1. Audit Committee	Yes	Mr. Pradeep Kumar Hirawat	Chairperson/Non-Executive/Independent	13/08/2014	-
		Mr. Manish Jain	Non-Executive/Independent	23/06/2010	-
		Mrs. Manisha Pincha	Executive	10/2/2017	-
2. Nomination & Remuneration Committee	Yes	Mr. Pradeep Kumar Hirawat	Chairperson/Non-Executive/Independent	30/12/2005	-
		Mr. Manish Jain	Non-Executive/Independent	27/03/2015	-
		Mr. Shambhu Choubey	Non-Executive	10/5/2019	-
3. Stakeholders Relationship Committee	Yes	Mr. Pradeep Kumar Hirawat	Chairperson/Non-Executive/Independent	10/5/2019	-
		Mr. Manish Jain	Non-Executive/Independent	23/06/2010	-
		Mrs. Manisha Pincha	Executive	10/5/2019	-

§ Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.

\*\* Date of appointment is related to the current position held in the Committee.

## III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meetings (in number of days)
10/8/2021	-	Yes	3	2	40
-	3/11/2021	Yes	4	2	84
-	13/11/2021	Yes	3	2	9

\* to be filled in only for the current quarter meetings

## IV. Meeting of Committees



Name of the Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
1. Audit Committee	-	Yes	2	2	10/8/2021	-
	3/11/2021	Yes	3	2	-	84
	13/11/2021	Yes	2	2	-	9
2. Nomination & Remuneration Committee	-	-	-	-	-	-
3. Stakeholders Relationship Committee	-	Yes	2	2	10/8/2021	-
	13/11/2021	Yes	2	2	-	94

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional.

#### V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

#### Note :

1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

#### VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- The composition of the following committees is in terms of SEBI(Listing Obligations and Disclosure Requirements) Regulations, 2015
  - Audit Committee
  - Nomination & Remuneration Committee
  - Stakeholders Relationship Committee
  - Risk management committee (applicable to the top 100 listed entities) – Not applicable
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- The report submitted in the previous quarter has been placed before Board of Directors.

For, Khatod Investments & Finance Co Ltd

Sweta Singh

Sweta Singh  
Company Secretary & Compliance Officer

