

**Format of holding of specified securities**

**Annexure - I**

- 1 **Name of Listed Entity : KHATOD INVESTMENTS & FINANCE CO. LTD.**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 31/12/2020**
- 4 Declaration:

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	7	1862300	0	0	1862300	62.031	1862300	0	1862300	62.031	0	62.031	0	0.000	0	0.000	1862300
(B)	Public	149	1139900	0	0	1139900	37.969	1139900	0	1139900	37.969	0	37.969	0	0.000	0	0.000	1088299
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>156</b>	<b>3002200</b>	<b>0</b>		<b>3002200</b>	<b>100.000</b>	<b>3002200</b>	<b>0</b>	<b>3002200</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>2950599</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a %	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family		5	1733200	0	0	1733200	57.731	1733200	0	1733200	57.731	0	57.731	0	0.000	0	0.000	1733200
	KUSUM DEVI DUGAR	ADRPD2388N	0	100000	0	0	100000	3.331	100000	0	100000	3.331	0	3.331	0	0.000	0	0.000	100000
	REKHA JHABAK	ACUPD7046H	0	35300	0	0	35300	1.176	35300	0	35300	1.176	0	1.176	0	0.000	0	0.000	35300
	SHEETAL DUGAR	ADEPD5870E	0	150000	0	0	150000	4.996	150000	0	150000	4.996	0	4.996	0	0.000	0	0.000	150000
	SUNDER LAL DUGAR	ADRPD6905F	0	80100	0	0	80100	2.668	80100	0	80100	2.668	0	2.668	0	0.000	0	0.000	80100
	VINOD DUGAR	ADQPD7934H	0	1367800	0	0	1367800	45.560	1367800	0	1367800	45.560	0	45.560	0	0.000	0	0.000	1367800
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		2	129100	0	0	129100	4.300	129100	0	129100	4.300	0	4.300	0	0.000	0	0.000	129100
	ANKUR CONSTRUCTIONS PVT LTD.	AACCA0965D	0	64900	0	0	64900	2.162	64900	0	64900	2.162	0	2.162	0	0.000	0	0.000	64900
	LOKA PROPERTIES PVT LIMITED	AAACL9339G	0	64200	0	0	64200	2.138	64200	0	64200	2.138	0	2.138	0	0.000	0	0.000	64200
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		7	1862300	0	0	1862300	62.031	1862300	0	1862300	62.031	0	62.031	0	0.000	0	0.000	1862300
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>7</b>	<b>1862300</b>	<b>0</b>	<b>0</b>	<b>1862300</b>	<b>62.031</b>	<b>1862300</b>	<b>0</b>	<b>1862300</b>	<b>62.031</b>	<b>0</b>	<b>62.031</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>1862300</b>

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		144	77800	0	0	77800	2.591	77800	0	77800	2.591	0	2.591	0	0.000	0	0.000	26199
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		5	1062100	0	0	1062100	35.377	1062100	0	1062100	35.377	0	35.377	0	0.000	0	0.000	1062100
	NAMOKAR DUPLICATING PVT. LTD.	AABCN1103D	0	443500	0	0	443500	14.773	443500	0	443500	14.773	0	14.773	0	0.000	0	0.000	443500
	PARAMDHAM MERCANTILE PRIVATE LIMITED	AADCP9888M	0	370000	0	0	370000	12.324	370000	0	370000	12.324	0	12.324	0	0.000	0	0.000	370000
	SAMSPA EXPO PRIVATE LIMITED	AADCS6679K	0	120000	0	0	120000	3.997	120000	0	120000	3.997	0	3.997	0	0.000	0	0.000	120000
	VEEKAY APARTMENTS PRIVATE LIMITED	AAACV9674J	0	120000	0	0	120000	3.997	120000	0	120000	3.997	0	3.997	0	0.000	0	0.000	120000
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Non-Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memembers		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		149	1139900	0	0	1139900	37.969	1139900	0	1139900	37.969	0	37.969	0	0.000	0	0.000	1088299
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		<b>149</b>	<b>1139900</b>	<b>0</b>	<b>0</b>	<b>1139900</b>	<b>37.969</b>	<b>1139900</b>	<b>0</b>	<b>1139900</b>	<b>37.969</b>	<b>0</b>	<b>37.969</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>1088299</b>



**Table V - Statement showing details of significant beneficial owner (SBOs)**

<b>Table V - Statement showing details of significant beneficial owner (SBOs)</b>												
<i>Details of the significant beneficial owner (I)</i>			<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect (III)</i>					<b>Date of creation / acquisition of significant beneficial interest (IV)</b>	
<b>Sr. No.</b>	<b>Name</b>	<b>PAN/Passport No. in case of a foreign national</b>	<b>Nationality</b>	<b>Name</b>	<b>PAN/Passport No. in case of a foreign national</b>	<b>Nationality</b>	<b>Shares %</b>	<b>Voting rights %</b>	<b>Rights on distributable dividend or any other distribution %</b>	<b>Exercise of control</b>		<b>Exercise of significant influence</b>
1	Manisha Pincha	APZPP6065Q	Indian	NAMOKAR DUPLICATING PVT LTD	AABCN1103D	-	Indirect -14.77	-	-	No	No	15/05/2010
2	Ravi Prakash Pincha	AFLPP8303D	Indian	NAMOKAR DUPLICATING PVT LTD	AABCN1103D	-	Indirect - 14.77 Direct - 0.02	-	-	No	No	15/05/2010